



Attorney Docket No.: PATENT  
ABREAU-00108

2171 #2  
BT  
01-31-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Robert O. Keith, Jr.

Serial No.: 09/801,076

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR  
ORGANIZING DATA BY  
OVERLAYING A SEARCHABLE  
DATABASE WITH A DIRECTORY  
TREE STRUCTURE**

Group Art Unit: 2171

Examiner:

TRANSMITTAL LETTER

260 Sheridan Avenue, Suite 420  
Palo Alto, CA 94306  
(650) 833-0160

RECEIVED  
JAN 22 2002  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a)).

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 11/21/01 By: Norvander



PATENT  
Attorney Docket No.: ABREAU-00108

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
JAN 22 2002  
Technology Center 2100

In re Application of:

Robert O. Keith, Jr.

Serial No.: 09/801,076

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR  
ORGANIZING DATA BY  
OVERLAYING A SEARCHABLE  
DATABASE WITH A DIRECTORY  
TREE STRUCTURE**

) Group Art Unit: 2171

) Examiner:

) **CERTIFICATION FOR**  
) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT UNDER 37**  
) **CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650)833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

### Certification

I hereby certify that the attached three (3) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on August 27, 2001. **Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement.** 37 C.F.R. 1.97(e)(1).

- 1 -

### CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 11/21/01 By: T. W. Haverstock

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant